
Quantum frustrated magnets

Heisenberg models,
spin liquids, topological order, anyons,
fractionalization, entanglement, ...

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Outline

- ❑ Classical & quantum frustration
- ❑ 'Conventional' phases: Néel, VBC, ...
- ❑ Quantum spin liquids
 - ❑ Fractionalization (anyon) & topological order
 - ❑ Example: Z_2 liquid
 - ❑ Entanglement
 - ❑ Gapless liquids

Some examples of

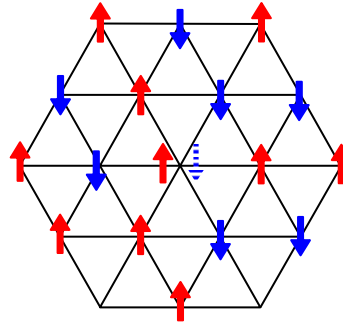
CLASSICAL FRUSTRATION

Classical frustration (1)

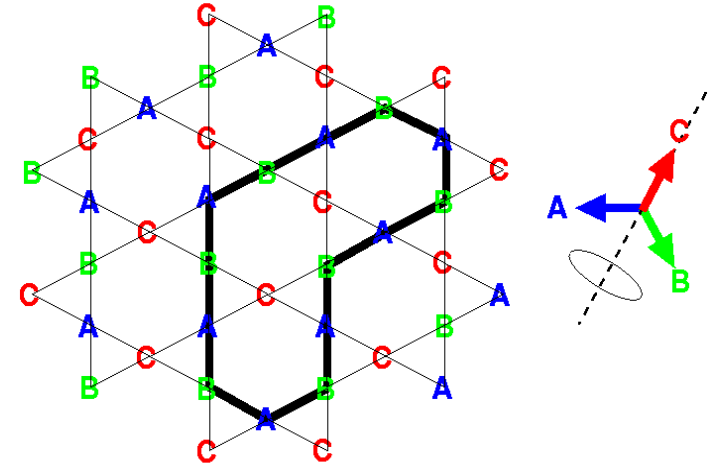
Frustration → **many low-energy states** (sometimes degenerate)

Examples:

- Ising AF triangular lattice
Wannier [1950](#)

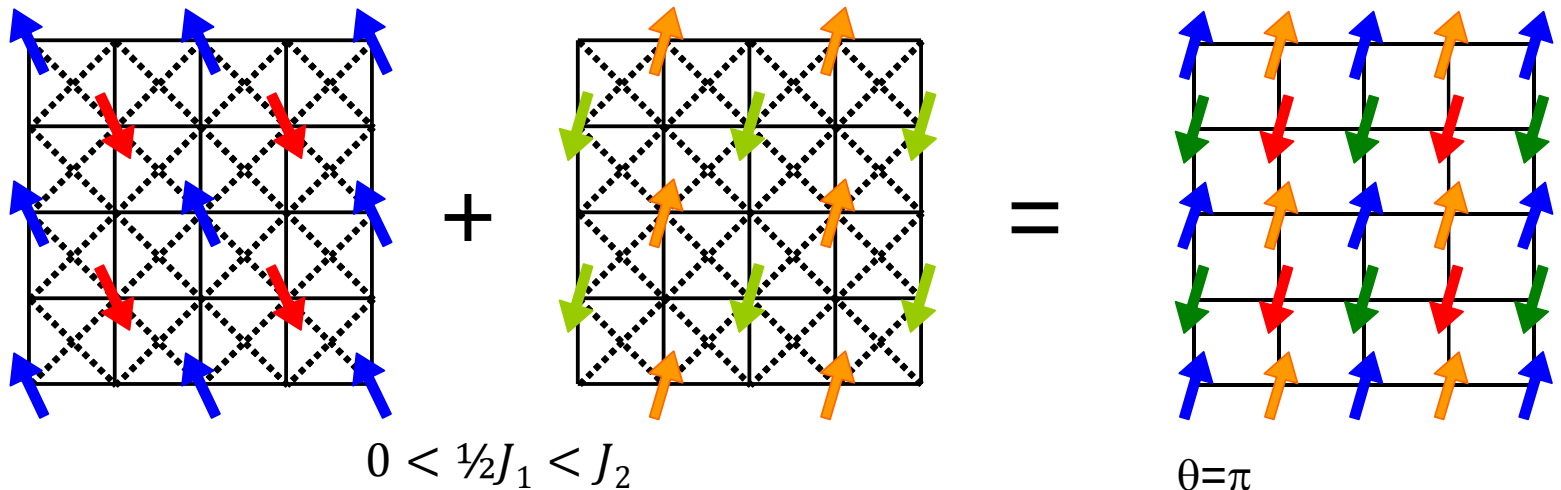


- O(3) Kagome antiferromagnet



- $J_1 - J_2$ O(3) model

Nb: even larger deg. at $J_2 = 0.5J_1$

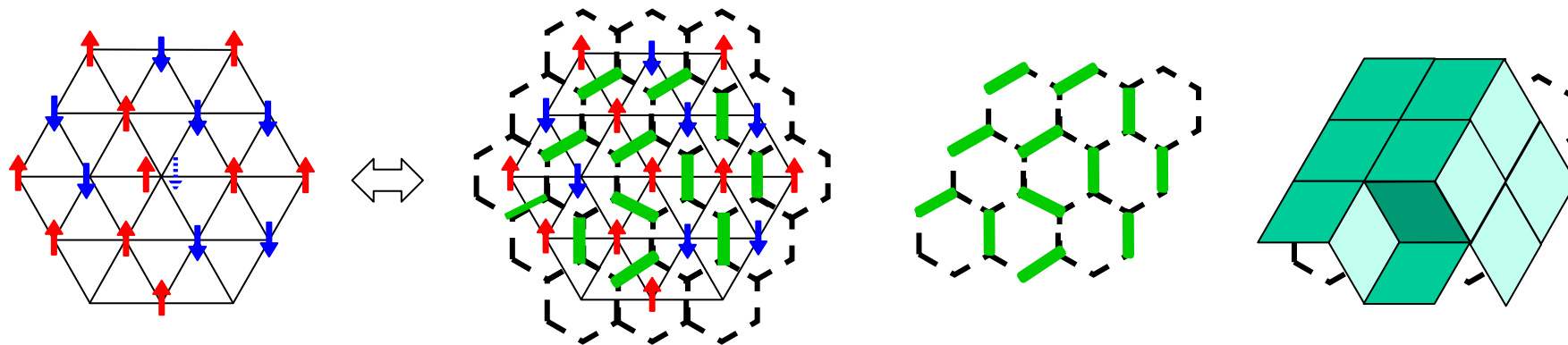


Classical frustration (2)

Frustration → **new/emerging low energy degrees of freedom**

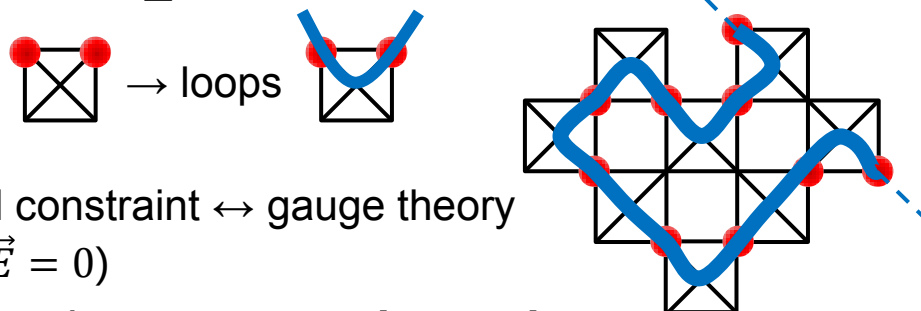
Examples:

- Ising AF triangular → **dimers** on the honeycomb lattice → 2D surface/height model



- Ising checkboard

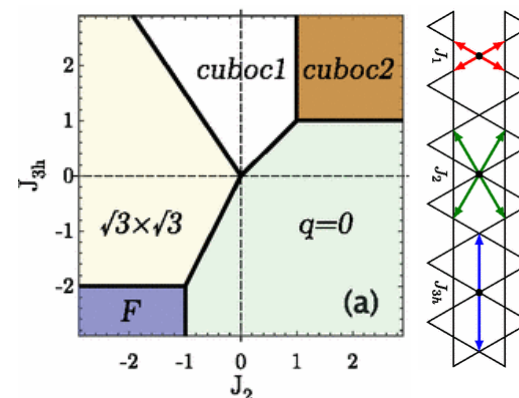
$H = \left(\sum_{\square} \sigma_i^z \right)^2$: Minimization → 2 up (●) & 2 down on each crossed-square



- Local constraint ↔ gauge theory ($\text{div } \vec{E} = 0$)

- Frustration → **competing orders**

ex: classical phase diagram of the $O(3) J_1 - J_2 - J_3$ model on kagome



Messio, Bernu & Lhuillier, PRL [2012](#)

How to make a frustrated system

QUANTUM ?

Quantum dynamics

Some ways to add quantum dynamics to classical/frustrated spin system

- **Transverse field** $H = \sum_{ij} J_{ij}^z \sigma_i^z \sigma_j^z + h \sum_i \sigma_i^x$

ex.: Frustrated Ising + transverse field: Moessner & Sondhi PRL [2001](#)

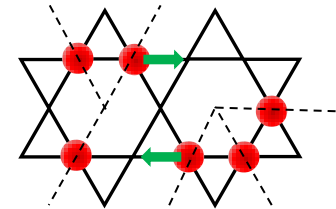
- **“xy” Exchange** $H = \sum_{ij} J_{ij}^z \sigma_i^z \sigma_j^z + J^\perp \sum_{\langle ij \rangle} (S_i^+ S_j^- + S_i^- S_j^+)$

ex.: of quantum spin liquid in an easy-axis XXZ model:

Balents, Fisher & Girvin, PRB [2002](#)

$$\mathcal{H}_0 = J_z \sum_{\square} (S_{\square}^z)^2$$

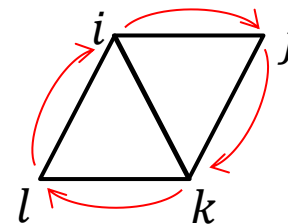
$$\mathcal{H}_1 = J_{\perp} \sum_{\square} [(S_{\square}^x)^2 + (S_{\square}^y)^2 - 3]$$



- **Cyclic ring exchange**

$$H = \dots + K \sum_{\langle ijkl \rangle} P_{ijkl} + h.c.$$

Hubbard model: $K \sim \frac{t^4}{U^3}$



- ...

Methods ?

- ❑ Strongly interacting many-body problems, no obvious “small” parameter, no general method which works in all/most cases.
- ❑ Numerics (→ **A. LAUCHLI’S**):
 - ❑ Exact Diagonalizations
 - ❑ Variational MC (→ **F. BECCA’S**),
 - ❑ DMRG ($d = 1$ & $d = 2$)
 - ❑ Tensor-network based approaches (→ **N. SCHUCH’S**)
 - ❑ Series expansion
 - ❑ coupled clusters expansions
 - ❑ QMC (→ **F. Assaad**). Sign problem → specific models only (Sandvik’s $J - Q$, PRL [2007](#), etc.)
- ❑ Analytics:
 - ❑ Large- S
Large- N (Abrikosov Fermions, Schwinger bosons, ...)
 - ❑ Effective models, toy models & ansatze wave-functions: dimers, string-nets, RK points, ...
 - ❑ field theory & renormalization group, gauge theory mappings, ...

What are the possible

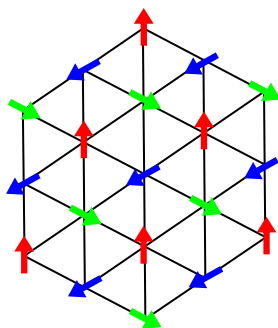
PHASES AT $T=0$?

Results from 20th century

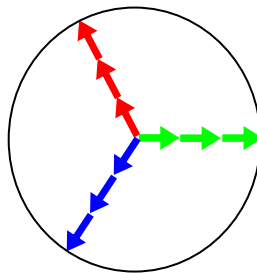
CONVENTIONAL PHASES

Magnetic LRO & broken continuous symmetry

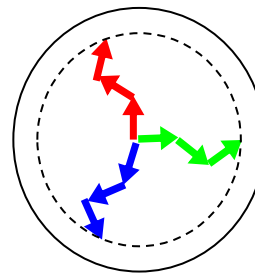
- A canonical example, the triangular Heisenberg antiferromagnet



Classical



Quantum



Jolicoeur & Le Guillou, [1989](#)

Huse & Elser [1988](#)

Bernu, Lhuillier & Pierre, [1992](#)

- Gapless (spin waves=Nambu-Goldstone)

- Possibility of « order-by-disorder »

ex.: $J_1 - J_2$ on square lattice

Collinear mag. order for $J_2 > 0.5J_1$, although the classical g.-state is degenerate.

Chandra, Coleman & Larkin, PRL [1990](#)

- More complex continuous sym. breaking are possible: nematic orders

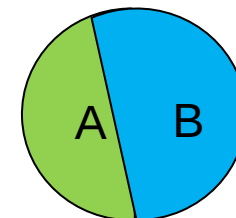
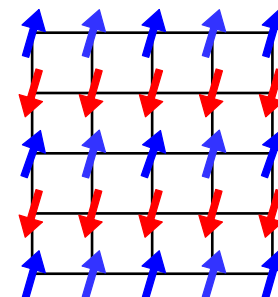
- Long-wavelength fluctuations → entanglement

Additive $\log(L)$ corrections to the area law in the entanglement entropy

$S(L) \sim \alpha L + \frac{N_G(d-1)}{2} \log(L)$, where N_G is the number of Goldstone modes

Metlitski & Grover, [arXiv:1112.5166](#); Kallin, Hastings, Melko & Singh, PRB [2011](#)

Luitz, Plat, Alet, & Laflorencie, PRB [2015](#); Laflorencie, Luitz, & Alet, PRB [2015](#)

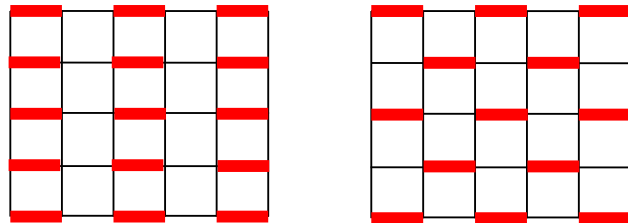


$|\psi\rangle$

Valence-bond crystals

□ Spatial spontaneous symmetry breaking. Short-ranged spin-spin correlations

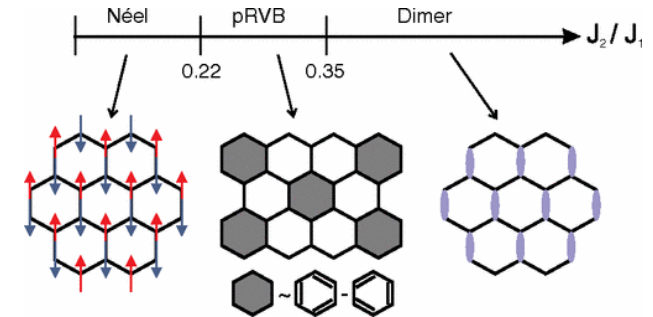
■ Example 1



Heisenberg model & 4-spin “ring” exchange
Läuchli, Dörmge, Lhuillier, Sindzingre & Troyer,
PRL [2005](#)

■ Example 2

$J_1 - J_2$ spin- $\frac{1}{2}$ Heisenberg antiferromagnet on a honeycomb



From: Ganesh, Van den Brink & Nishimoto, PRB [2013](#)
See also: Z. Zhu, Huse, & White, PRB [2013](#)

□ Magnetic excitations are gapped $S = 1$ magnons (spin- $\frac{1}{2}$ spinons are confined)

Question: what happens if you 1) break a singlet into a triplet (=two nearby spin-1/2)

2) try to separate these spin-1/2 ?

□ Possibility of interesting continuous phase transition between Néel & VBC: “deconfined” criticality

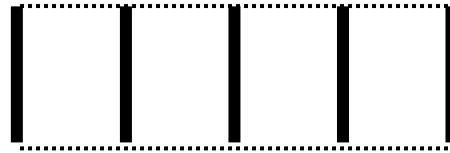
(requires to go beyond standard Landau-Ginzburg theory of phase transitions)

T. Senthil *et al.*, Science **303**, 1490 (2004)

□ Can we have some states *without any broken symmetry* ?

Quantum paramagnets

- Adiabatically connected to some “decoupled” limit (or band insulator)



Strong explicit dimerization
 $J(\text{—}) \gg J'(\text{-----})$

- Gap & short-range (connected) correlations, no spon. broken sym. unique ground-state.

- “weakly entangled” states (exists a product-state limit)

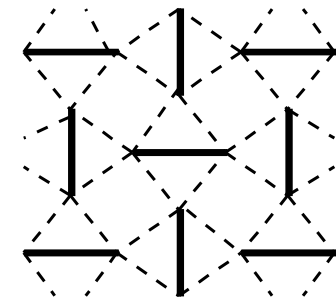
- A famous example: $\text{SrCu}_2(\text{BO}_3)_2$
 (very interesting magnetization curve with many plateaus)

- Other examples:

CaV_4O_9
 TlCuCl_3
 $\text{Rb}_2\text{Cu}_3\text{SnF}_{12}$, ...

$$J(\text{—}) \approx 100 \text{ K}$$

$$J'(\text{----}) \approx 68 \text{ K}$$



Kageyama et al. (1999)

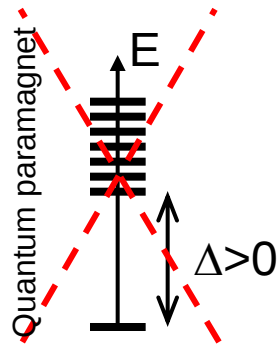
Lieb-Shultz-Mattis-Hastings theorem

*A featureless quantum paramagnet is impossible if the system has a **half odd-integer spin per unit cell** (=Mott insulator).*

Lieb-Schultz-Mattis Theorem for spin chains ($d = 1$): [1961](#)

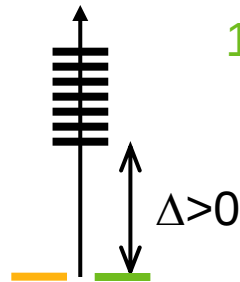
Recent proof valid in any dimension ($d > 1$) : Hastings, PRB [2004](#)

See also: Affleck [1988](#); Bonesteel [1989](#); Oshikawa PRL [2000](#); Nachtergaele & Sims [2007](#)



Conditions:

- half-odd-integer spin in the unit cell
- short-range interactions
- Global $U(1)$ symmetry: $[S^z_{\text{tot}}, H] = 0$
- dimensions $L_1 \times L_2 \times \dots \times L_D$ with $L_2 \times \dots \times L_D = \text{odd}$
- periodic bound. conditions in direction 1
- thermodynamic limit



1) Ground-state degeneracy

- a- Conventional broken symmetry (ex.: VBC)
- b- “Topological” degeneracy

2) Gapless spectrum

- a- Continuous broken sym. (ex.: Néel order)
- b- Critical phase (or crit. point)

“spin liquids”

QUANTUM SPIN LIQUIDS



What is a quantum spin liquid ?

Several possible definitions:

- def 0: Spin system which remains “**disordered**” (=no SSB) down to $T = 0$
 - = the classical point of view
 - It would include quantum paramagnets
... unless one demands a half-odd-integer spin/cell
 - it would exclude systems where some conventional order could coexist with some QSL/topological order physics... (like some chiral spin liquids)

- def 1: A phase which admits **no “product-state”** limit
Recent review developing this “entanglement” point of view:
Savary & Balents [arXiv:1601.03742](https://arxiv.org/abs/1601.03742) (see also: Balents, Nature [2010](#))

- def 2: A phase which sustains some **fractionalized** excitations
Restricted to gapped QSL

Gapped QSL in 2D:

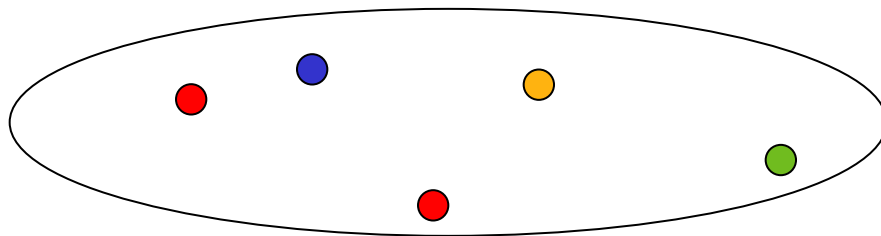
FRACTIONALIZATION & TOPOLOGICAL ORDER

Anyons in 2d

Phenomenology for the elementary excitations in a “topological” phase

□ n (topologically distinct) types of elementary excitations.

They live in the bulk, they are gapped & can be localized



● $i=1$ (trivial)

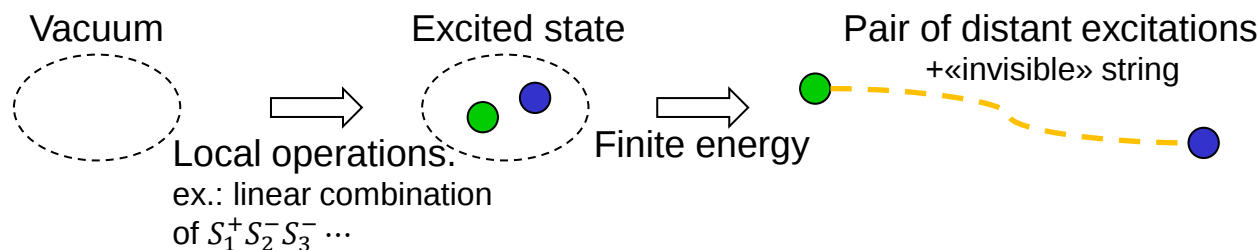
● $i=2$

● ...

● $i=n$

□ By acting locally, one can only create/destroy **pairs** of particles

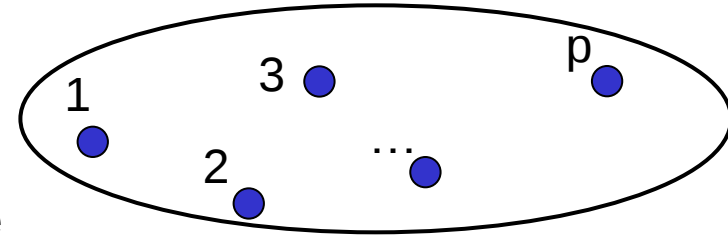
(except the topologically “trivial”-type particle... Allowed pairs are given in terms of the so-called fusion rules)



Anyons quantum dimensions

□ p localized quasiparticles $\rightarrow$ degeneracy:

- #of states $\sim (d_i)^p$ when $p \gg 1$
- These states **are locally indistinguishable**
- d_i : so-called **quantum dimension** (not necessarily an integer) of the quasiparticles of type i



□ For Abelian anyons, $d_i = 1$ (no degeneracy)

□ non-Abelian $d_i > 1$

□ example 1: Ising anyon “ σ ”

(chiral p-wave superconductors, FQHE @ $\nu = \frac{5}{2}$ & Moore-Read Pfaffian state)

$$\dim_{2N} = 2^N \rightarrow d_\sigma = \sqrt{2}$$

□ example 2: Fibonacci anyon « τ »

(FQHE & Read-Rezayi state)

$$\dim = 1, 2, 3, 5, 8, \dots = \text{Fibonacci suite} \rightarrow d_\tau = \frac{1+\sqrt{5}}{2}$$

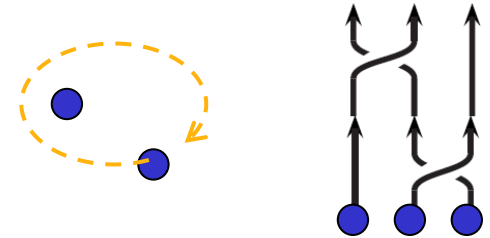
□ Short introduction to anyons, $SU(2)_k$, etc: Trebst, Troyer, Wang & Ludwig, [2008](#)

Anyon statistics

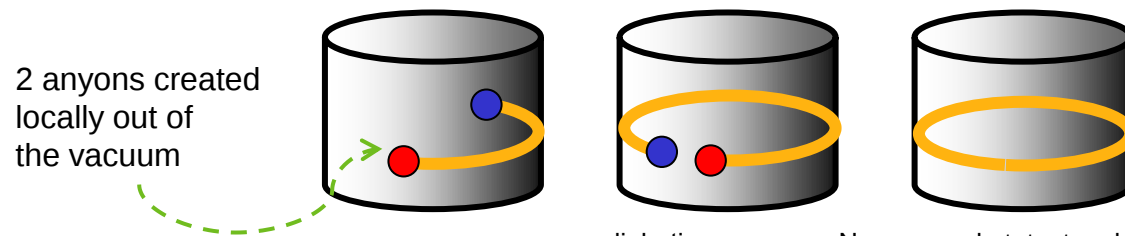
□ Braiding :

- possible non-trivial **phase** (if $d_i = 1$)
- or **matrix** if some $d_i > 1$
- Topological quantum computation

Nayak *et al.*, Rev Mod Phys [2008](#)



□ Topological degeneracy of the ground-state



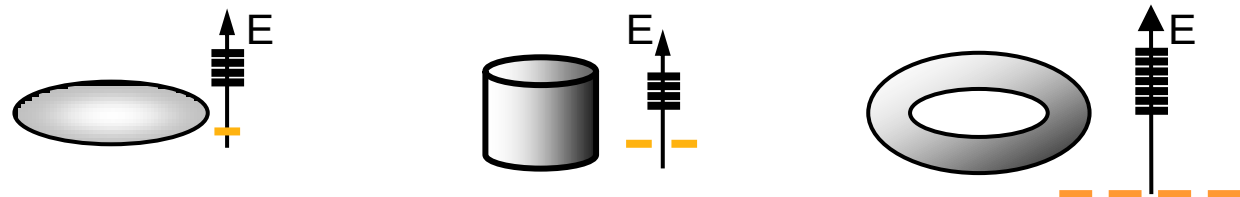
adiabatic process → New ground-state, topologically distinct from the initial vacuum

Topological degeneracy

X.-G. Wen [1991](#)

See also Oshikawa & Senthil PRL [2006](#)

ex. : $\mathbb{Z}_2$ liquid



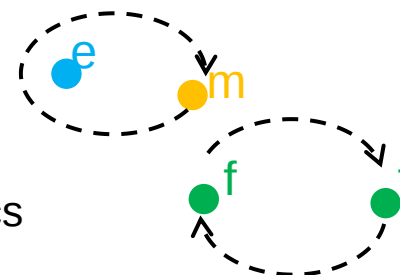
Generally: degeneracy on a torus = number of quasiparticle types

Simplest example: $\mathbb{Z}_2$ liquid

□ 4 topological types of excitations (all with quantum dimension $d_i = 1$)

- **1** : Trivial particle
- **e** : “electric charge”
- **m** : “magnetic charge”
- **f** : **e-m** pair

} $e^{i\pi}$ Mutual statistics
Fermionic self-statistics



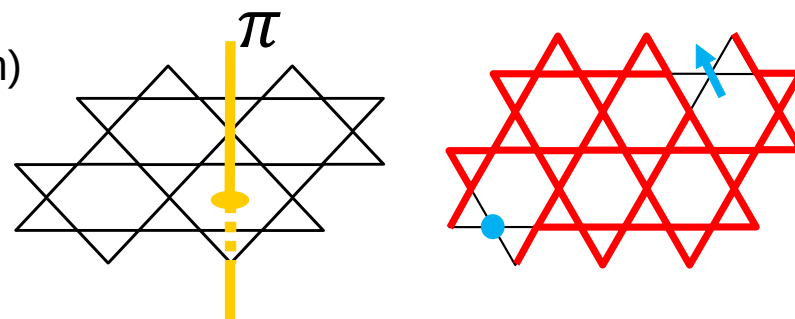
□ Realization in a short-range RVB spin liquid (Anderson [1973](#); Read & Sachdev [1991](#); ...)

$$\text{red line} = \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$$

$$|\psi_{\text{RVB}}\rangle \sim \text{[Diagram 1]} + \text{[Diagram 2]} + \text{[Diagram 3]} + \dots$$

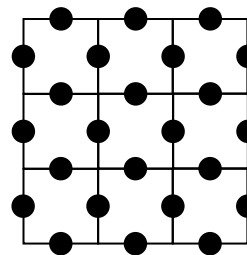
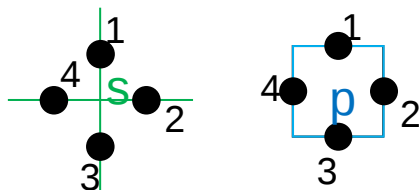
The lattice symmetries (translation etc.) are restored in this linear superposition

- **1** : Any local excitation (example: a $S = 1$ magnon)
- **e** : $\text{spin}-\frac{1}{2}$ spinon (or hole)
- **m** : vison (π -flux vortex in the singlet amplitudes)
- **f** : spinon-vison bound-state



Examples of $\mathbb{Z}_2$ liquids

- Kitaev's Toric code, arXiv [1997](#) (=Ann. of Phys. [2003](#))



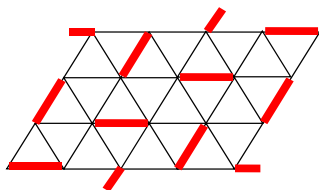
$$H = - \sum_s A_s - \sum_p B_p$$

$$A_s = \sigma_1^x \sigma_2^x \sigma_3^x \sigma_4^x$$

$$B_p = \sigma_1^z \sigma_2^z \sigma_3^z \sigma_4^z$$

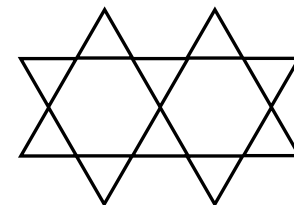
- Quantum dimer models

- triangular lattice: Moessner & Sondhi PRL [2001](#),



$$H = -J \sum |\text{dimer configurations}\rangle \langle \text{dimer configurations}| + V \sum |\text{dimer configurations}\rangle \langle \text{dimer configurations}|$$

- kagome: GM, Serban & Pasquier PRL [2002](#) (exactly solvable & similar to the toric code)



- Balents Fisher Girvin, PRB [2002](#)

mapping onto a QDM@triangular with 3 dimers touching each site

See also : Isakov et al. [2006](#); Isakov et al. [2011](#)

$$\mathcal{H}_0 = J_z \sum_{\square} (S_{\square}^z)^2$$

$$\mathcal{H}_1 = J_{\perp} \sum_{\square} [(S_{\square}^x)^2 + (S_{\square}^y)^2 - 3]$$

- Several spin-1/2 antiferromagnetic **Heisenberg models** are $\mathbb{Z}_2$ -liquid candidates. For instance:

DMRG
studies

- **J_1 -only @Kagome:**

S. Yan, D. Huse & S. White, Science [2011](#);
S. Depenbrock, I. P. McCulloch, & U. Schollwöck PRL [2012](#);
H.-C. Jiang, Z. Wang & L. Balents, Nature [2012](#)

- ...

- **$J_1 - J_2$ @triangular**

Z. Zhu & S. R. White, PRB [2015](#)
W.-J. Hu, S.-S. Gong, W. Zhu & D. N. Sheng, PRB [2015](#)

Symmetry-enriched topological phases

□ Topological order (fusion rules, quantum dimension, topological degeneracy, ...) is robust against **all local perturbations**. Symmetries are not required.

□ In presence of symmetries (lattice translations, spin-rotations, ...) a given topological phase may give rise to several **symmetry-enriched topological (SET)** phases.

□ Physical states (containing necessarily an even number of anyons) transform according to linear representations of the symmetry group.

But, when defined on *single* anyon (subtle!), the symmetry operations correspond to a **projective representation** U :

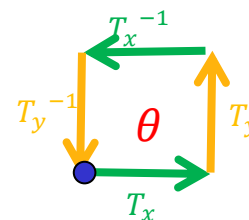
$$U_g U_h = e^{i\theta(g,h)} U_{gh}$$

□ The different SET phases correspond to different projective representations (“symmetry fractionalization”).

Example with 2 translations:

$$T_x T_y T_x^{-1} T_y^{-1} = 1 \text{ but } U_{T_x} U_{T_y} U_{T_x}^{-1} U_{T_y}^{-1} = e^{i\theta}$$

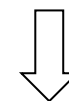
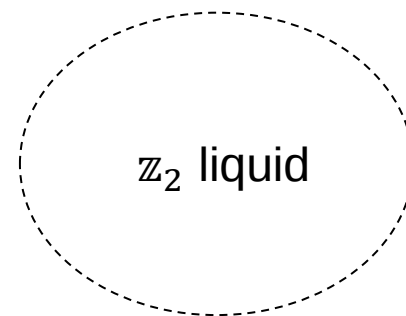
θ : topological invariant of the phase



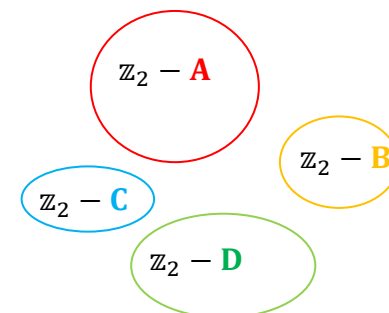
□ A few references on $\mathbb{Z}_2$ liquids & SET:

X.-G. Wen, PRB [2002](#) (Projective symmetry group & parton construction); F. Wang & A. Vishwanath, PRB [2006](#) (Schwinger Bosons on triangular & kagome lattices); M. Essin & M. Hermele, PRB [2013](#); C.Y. Huang, X. Chen & F. Pollmann, PRB [2014](#); M. Zaletel, Y.-M. Lu & A Vishwanath, [arXiv:1501.01395](#)

no symmetry → single phase



Symmetries
→ several symmetry-enriched $\mathbb{Z}_2$ phases



How to

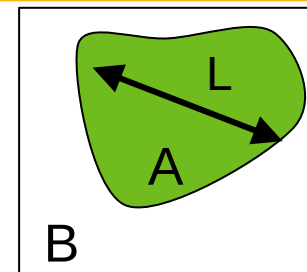
DETECT if a given $|\psi\rangle$ is **QSL STATE ?**

compute its quantum entanglement

Entanglement entropy & area law

Basic definitions

- Reduced density matrix: $\rho_A = \text{Tr}_B[|\psi\rangle\langle\psi|]$
- Von Neumann entropy: $S_A = -\text{Tr}_B[\rho_A \log \rho_A]$



$|\psi\rangle$

Area law

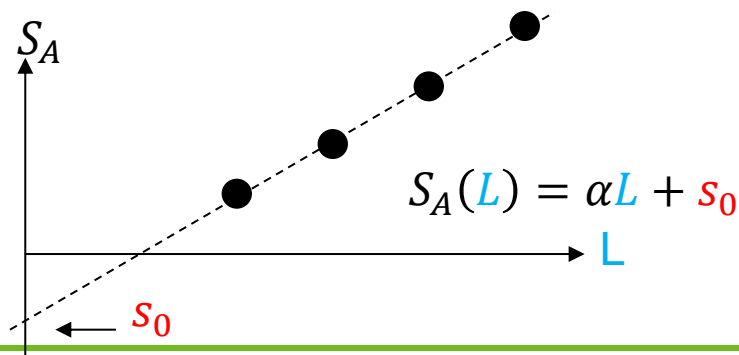
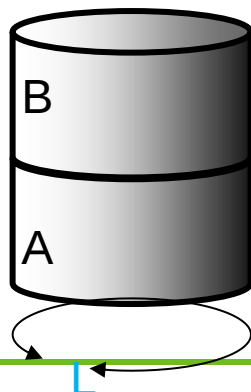
The ground-state(s) (and low-energy excitations) of (many) Hamiltonians with short-ranged interactions have an entanglement entropy which scales like the area of the boundary of the subsystem

$$S_A \sim \mathcal{O}(\text{Area of } \partial A) = \mathcal{O}(L^{d-1})$$

Known gapless systems which violate the area law:

- critical systems in $d = 1$
- systems in $d > 1$ with a Fermi surface, where $S_A \sim \mathcal{O}(L^{d-1} \log L)$

Subleading corrections to the area law are often universal



Quantum Entanglement & topological order

The topological data of a QSL (anyon statistics, ...) can be extracted from the ground states wave-functions.

□ Entanglement entropy $\Rightarrow$ quantum dimensions

Levin & Wen, PRL [2006](#) & Kitaev & Preskill, PRL [2006](#)

$$S_a(L) = \alpha L - \log\left(\frac{\mathcal{D}}{d_a}\right)$$

Total quantum dimension $\mathcal{D} = \sqrt{\sum_i (d_i)^2}$

Ground-state $\rightarrow$ trivial particle $a = 1 \rightarrow S_{g.-s.}(L) = \alpha L - \log(\mathcal{D})$

Remark: cannot make α arbitrary small (product state), since S must be ≥ 0 .

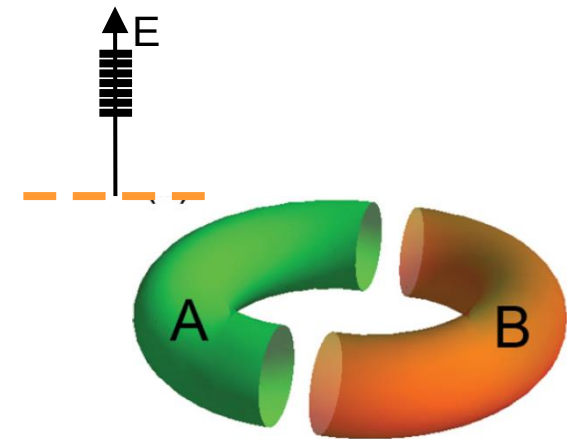
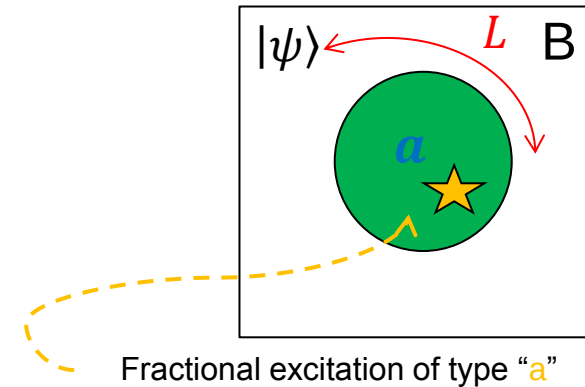
□ Entanglement entropy $\Rightarrow$ Braiding & statistics properties

“Quasiparticle statistics and braiding from ground-state entanglement”

Zhang, Grover, Turner, Oshikawa & Vishwanath PRB [2012](#)

Idea: use Minimally Entangled States (MES)

MES are in one-to-one correspondence with the anyon types



In the geometry above, the constant/subleading entropy term for the region A depends on the choice of a ground-state

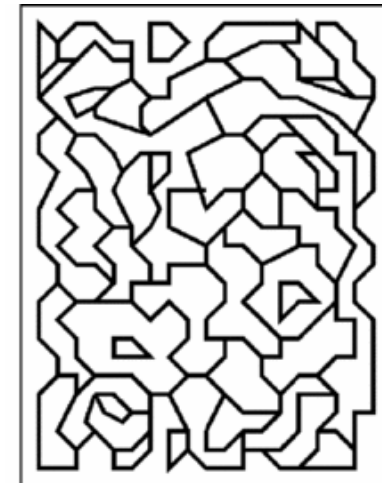
Other gapped spin liquids – a few examples

□ Chiral spin liquids → B. BAUER's talk

- Close (bosonic) cousins of **fractional quantum Hall** phases
- Original ideas: Kalmeyer & Laughlin, PRL [1987](#) and Wen PRB [1989](#)
- Gapped excitations in the bulk (abelian anyons) but $\exists$ gapless edge modes
- Several realizations were recently discovered (thanks to 2D DMRG in particular) on **Kagome-lattice Heisenberg models** (with or without explicit T-reversal sym. breaking)
 - Y.-C. He, D. N. Sheng & Y. Chen, PRL [2014](#)
 - Gong, Zhu & Sheng, Sci. Rep. 2014
 - Bauer, Cincio, Keller, Dolfi, Vidal, Trebst & Ludwig, Nat. Commun. [2014](#)
 - W.-J. Hu, W. Zhu, Y. Zhang, S. Gong, F. Becca, D. N. Sheng, PRB [2014](#)

□ More types of anyons (possibly non-Abelian)

- Toy models: string-nets Levin & Wen, PRB [2005](#)
- No realization in frustrated magnets ?



String-net condensed

Can a QSL be

GAPLESS ?

Parton construction (fermions)

□ Abrikosov fermions

Review: P. Lee, N. Nagaosa & X.-G. Wen, RMP [2006](#)

spin-1/2 operators: $\vec{S}_i = \frac{1}{2} c_{i\mu}^\dagger \vec{\sigma}_{\mu\nu} c_{i\nu} \quad \mu, \nu = \uparrow, \downarrow$

note: $\exists$ gauge redundancy

constraint: $\forall i \quad c_{i\uparrow}^\dagger c_{i\uparrow} + c_{i\downarrow}^\dagger c_{i\downarrow} = 1$

□ Mean-field decoupling (equiv. to $SU(2) \rightarrow SU(N)$ and large- N limit)

Heisenberg model $\rightarrow H_{\text{MF}} = \sum_{\langle ij \rangle} \chi_{ij} (c_{i\uparrow}^\dagger c_{j\uparrow} + c_{i\downarrow}^\dagger c_{j\downarrow}) + \eta_{ij} (c_{i\uparrow} c_{j\downarrow} - c_{i\downarrow} c_{j\uparrow}) + H.c.$

+ self consistency: $\chi_{ij} = \langle c_{i\uparrow}^\dagger c_{j\uparrow} + c_{i\downarrow}^\dagger c_{j\downarrow} \rangle \quad \eta_{ij} = \langle c_{i\uparrow}^\dagger c_{j\downarrow}^\dagger - c_{i\downarrow}^\dagger c_{j\uparrow}^\dagger \rangle \quad 1 = \langle c_{i\uparrow}^\dagger c_{i\uparrow} + c_{i\downarrow}^\dagger c_{i\downarrow} \rangle$

□ Mean-field level

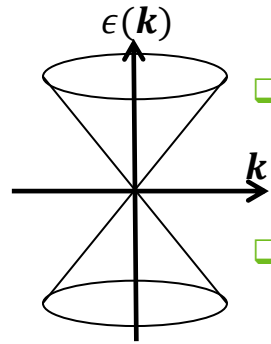
- No magnetic long-range order at $T = 0$, rotationally invariant QSL
- deconfined (free) spinon excitations
- Potentially **gapless** (depends on the dispersion relation)

□ Beyond mean-field

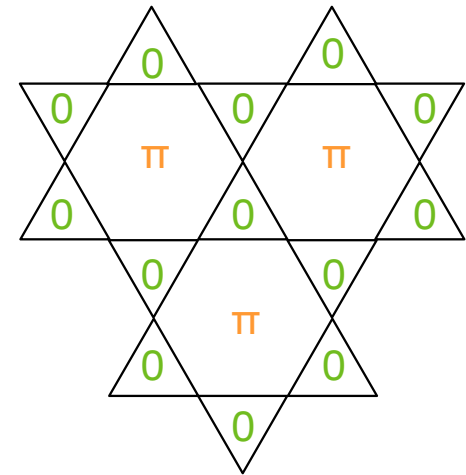
- **Gutzwiller projection** (Monte-Carlo) to enforce the constraint exactly
 $\rightarrow$ variational states & optimization of the var. parameter (χ_{ij} & η_{ij})
- Analyze the long-wavelength fluctuations:
arg (χ_{ij}) and arg (η_{ij}) are compact **gauge fields** (note: gauge group depends on the mean-field solution)
Gauge fluctuations can drastically change (destroy) the mean-field picture:
spinon confinement, translation symmetry breaking, ...

Gapless QSL in 2d ?

□ Dirac spinons coupled to U(1) gauge field – Algebraic Spin Liquids



- Theory: stable phase for large-enough number of Dirac points
M. Hermele, T. Senthil, M. P. A. Fisher, P. A. Lee, N. Nagaosa, and X.-G. Wen, [PRB 2004](#)
- Maybe realized in the spin- $1/2$ Kagome Heisenberg model :
Y. Ran, M. Hermele, P. A. Lee, and X. G. Wen, [PRL 2007](#)
M. Hermele, Y. Ran, P. A. Lee, and X. G. Wen, [PRB 2008](#)
Y. Iqbal, F. Becca, S. Sorella & D. Poilblanc, PRB [2013](#)



□ Fermi sea of spinons – Spin Bose metal

Maybe realized in triangular organics (κ -(ET)₂ Cu₂(CN)₃)
& ring-exchange models on the triangular lattice:

O. Motrunich, [PRB 2005](#)

M. S. Block, D. N. Sheng, O. I. Motrunich & M. P. A. Fisher, [PRL 2011](#)

(+many other refs on square ladders & triangular strips)

Gapless QSL in 3d

U(1) QSL phenomenology:

- ❑ Linearly dispersing gauge mode excitation (“photon”)
- ❑ Gapped deconfined “electric charges”, which interact via a $1/r$ potential (Coulomb)
- ❑ Gapped “magnetic charges” (monopoles), which interact via a $1/r$ potential (Coulomb)

- ❑ Examples:
 - $S=1/2$ Heisenberg antiferromagnet on the pyrochlore lattice in the limit of strong easy-axis exchange anisotropy.
Hermele, Fisher & Balents PRB [2004](#)
 - 3d QDM: Moesner & Sondhi PRB [2003](#)
See also: Raman, Moessner & Sondhi [2005](#)

Summary

The theoretical understanding of QSL have made huge progress in the last ~10 years

- Growing list of models
- Important progress on the numerical front (DMRG, ...)
- Relatively good understanding of the classification of 2d gapped SL in 2d (SET, ...)
- Entanglement is a key probe for these phases of matter (TEE, MES, ...)
- Experiments: many QSL candidates (Herbertsmithite, triangular organics, 3d Iriridate, ..), but the connections with theories are still at some early stage.

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